

# MODULE 2

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1

## FINITE STATE MACHINES WITH OUTPUT

### MOORE MACHINE AND MEALY MACHINE

➤ These machines can be described by  $(Q, \Sigma, \delta, q_0, \Delta, \lambda)$

$Q$  – Finite set of states

$\Sigma$  – Input alphabet

$\delta$  - Transition function ( $Q \times \Sigma \rightarrow Q$ )

$q_0$  - Initial state

$\Delta$  - Output alphabet

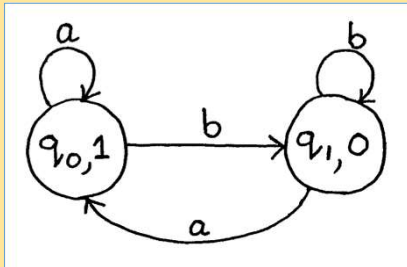
$\lambda$  – Output function

➤ The only difference between Moore and Mealy is in  $\lambda$

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## MOORE MACHINE



$$\lambda : Q \rightarrow \Delta$$

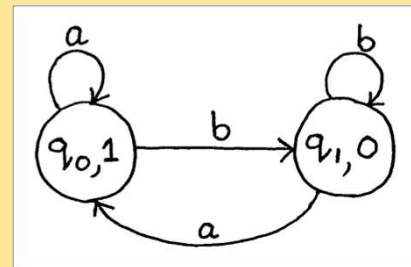
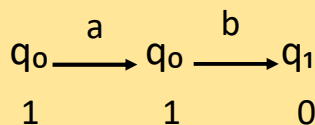
- Here for every state an output is associated
- $\Delta$  is a symbol which will be outputted by the machine.
- The state  $q_0$  produce an output 1
- The state  $q_1$  produce an output 0

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## MOORE MACHINE (WORKING)

- If we give input ab



- Without seeing anything,  $q_0$  will produce some output
- On seeing the input **ab**, this moore machine produce the output **110**
- In general, if the string of length **n** is input, then output produced is string of **n+1** length.

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### MEALY MACHINE

$\lambda : Q \times \Sigma \rightarrow \Delta$

$(q_0, a) \rightarrow 1$   
 $(q_0, b) \rightarrow 0$   
 $(q_1, b) \rightarrow 0$   
 $(q_1, a) \rightarrow 1$

- For a state and a given input , there will be some output
- For state  $q_0$  , if input is a, then output is 1

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### MEALY MACHINE (WORKING)

- Let the input is **ab**

$$q_0 \xrightarrow[a]{1} q_0 \xrightarrow[b]{0} q_1$$

- The output is associated with input
- If we give **n** bit input, the output will be **n** bit

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## MYHILL – NERODE THEOREM

- It implies that there is a unique minimal DFA with minimum number of states
- Minimization of DFA - DFA minimization stands for converting a given DFA to its equivalent DFA with minimum number of states.

Here

**Input** – DFA

**Output** – Minimized DFA

- **Table filling method** is also called, **Myhill - Nerode theorem**.

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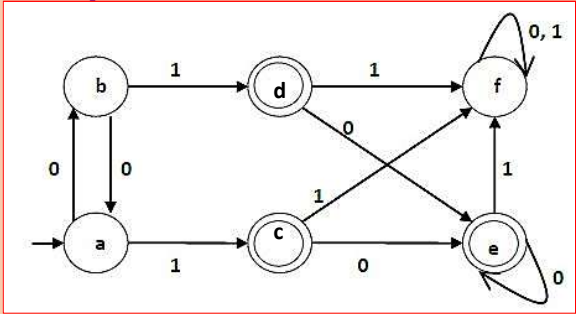
### STEP

1. Draw a table for all pairs of states (P, Q)
2. Mark all pairs where  $P \in F$  and  $Q \notin F$
3. If there are many unmarked pairs (P, Q) such that  $[\delta(P,x), \delta(Q,x)]$  is marked, then mark [P, Q] (where x is an input symbol). Repeat this until no more markings can be made.
4. Combine all the unmarked pairs and make them a single state in the minimized DFA.

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**Example**



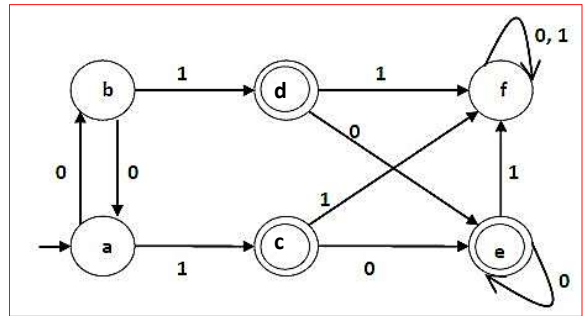
Step 1: Draw a table for all pairs of states (P, Q)

	A	B	C	D	E	F
A		😊				
B	😊					
C						
D						
E						
F						

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	A	B	C	D	E	F
A						
B						
C	✗	✗				
D	✗	✗				
E	✗	✗				
F			✗	✗	✗	



Step 2: Mark all pairs where  $P \in F$  and  $Q \notin F$  (One state should be final and other should be non final state)

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## Step 3

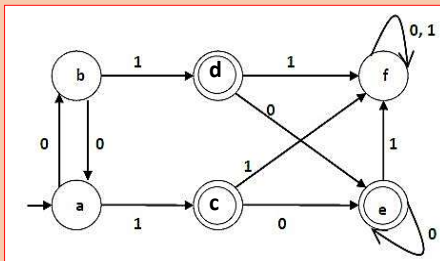
	A	B	C	D	E	F
A						
B						
C	×	×				
D	×	×				
E	×	×				
F			×	×	×	

(B,A) -  $\delta(B, 0) - A$

$\delta(A, 0) - B$  unmarked

$\delta(B, 1) - D$

$\delta(A, 1) - C$  unmarked



(D,C) -  $\delta(D, 0) - E$

$\delta(C, 0) - E$  no such column

$\delta(D, 1) - F$

$\delta(C, 1) - F$  no such column

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## Step 3 continuation

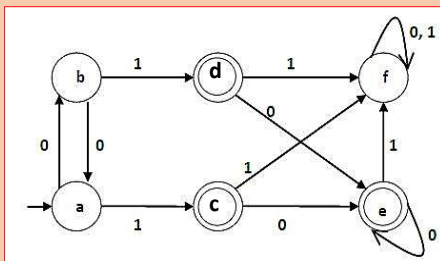
	A	B	C	D	E	F
A						
B						
C	×	×				
D	×	×				
E	×	×				
F			×	×	×	

(E,C) -  $\delta(E, 0) - E$

$\delta(C, 0) - E$  no such column

$\delta(E, 1) - F$

$\delta(C, 1) - F$  no such column



(E,D) -  $\delta(E, 0) - E$

$\delta(D, 0) - E$  no such column

$\delta(E, 1) - F$

$\delta(D, 1) - F$  no such column

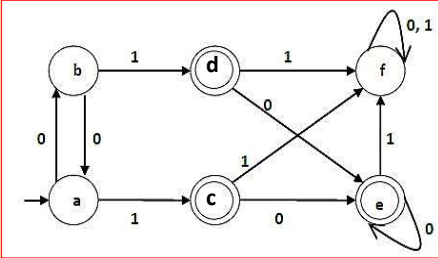
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### Step 3 continuation

	A	B	C	D	E	F
A						
B						
C	X	X				
D	X	X				
E	X	X				
F			X	X	X	

$(F, A) - \delta(F, 0) - F$   
 $\delta(A, 0) - B$  unmarked  
 $\delta(F, 1) - F$   
 $\delta(A, 1) - C$  marked. So we should mark FA



$(F, B) - \delta(F, 0) - F$   
 $\delta(B, 0) - A$  FA is marked .so we should mark FB also.

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### Final table

	A	B	C	D	E	F
A						
B						
C	X	X				
D	X	X				
E	X	X				
F	X	X	X	X	X	

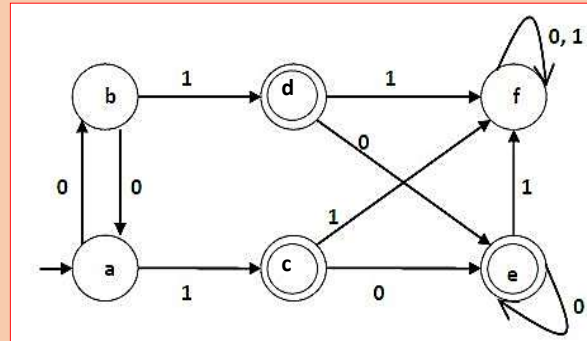
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**Step 4**

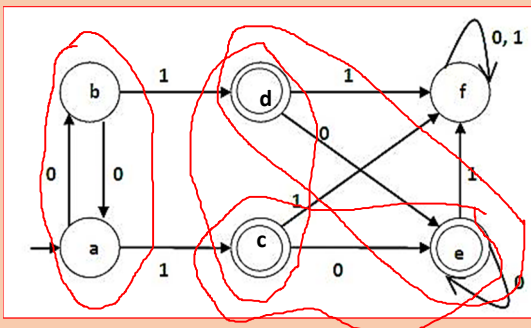
	A	B	C	D	E	F
A						
B						
C	×	×				
D	×	×				
E	×	×				
F	×	×	×	×	×	

Combine the unmarked pairs (A,B), (D,C), (E,C), (E,D)

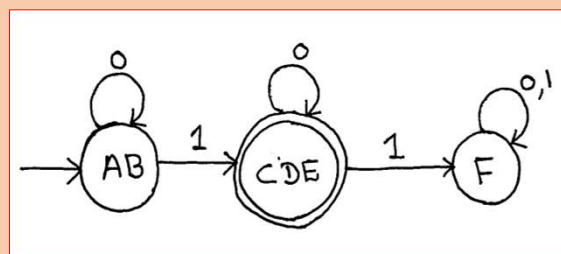


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**Minimal DFA**



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## DFA MINIMIZATION USING EQUIVALENCE THEOREM

- Suppose we have 2 states (P, Q)
- We can say that P and Q are equivalent, when
$$\delta(P, w) \in F \Rightarrow \delta(Q, w) \in F$$
$$\delta(P, w) \notin F \Rightarrow \delta(Q, w) \notin F$$
- If the above condition is satisfied, we can combine the states P and Q into one state.
- The above condition can be used to combine the two states into a single state.

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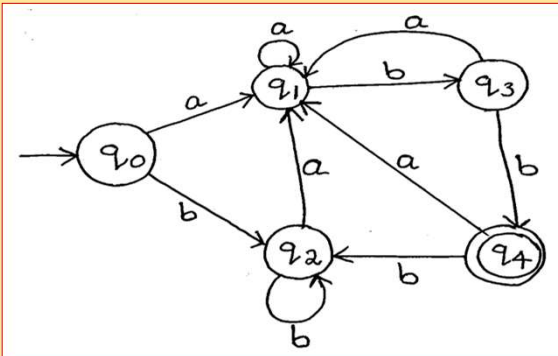
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- If  $|w|=0$ , then states P and Q are called 0 equivalent
  - If  $|w|=1$ , then states P and Q are called 1 equivalent
  - If  $|w|=2$ , then states P and Q are called 2 equivalent
- In general,
- If  $|w|=n$ , then states P and Q are called n equivalent

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Q: Minimize the following DFA



State Transition Table

	a	b
→ q <sub>0</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>1</sub>	q <sub>1</sub>	q <sub>3</sub>
q <sub>2</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>3</sub>	q <sub>1</sub>	*q <sub>4</sub>
*q <sub>4</sub>	q <sub>1</sub>	q <sub>2</sub>

- Here the start state is q<sub>0</sub> and final state is q<sub>4</sub>.
- **Step 1** - Identify the unreachable states (The states which are not reachable from initial state) . If such state exist, delete it.
- **Step 2** – Draw state transition table

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➤ **Step 3** - Find 0 equivalent states (ie, separate non final and final states )

[q<sub>0</sub>, q<sub>1</sub>, q<sub>2</sub>, q<sub>3</sub>] [q<sub>4</sub>]

➤ Find 1 equivalent states.

- Check 1 equivalent of (q<sub>0</sub>,q<sub>1</sub>)
- Check 1 equivalent of (q<sub>0</sub>,q<sub>2</sub>)
- Check 1 equivalent of (q<sub>2</sub>,q<sub>3</sub>)

**1 equivalent states**

q<sub>0</sub> q<sub>1</sub> q<sub>2</sub> q<sub>3</sub> q<sub>4</sub>

	a	b
→ q <sub>0</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>1</sub>	q <sub>1</sub>	q <sub>3</sub>
q <sub>2</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>3</sub>	q <sub>1</sub>	*q <sub>4</sub>
*q <sub>4</sub>	q <sub>1</sub>	q <sub>2</sub>

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➤ Find 2 equivalent states

- 2 equivalent state is find using 1 equivalent states. ie,

$[q_0, q_1, q_2]$   $[q_3]$   $[q_4]$

- Check 2 equivalent of  $(q_0, q_1)$
- Check 2 equivalent of  $(q_0, q_2)$

**2 equivalent states**

$q_0$   $q_2$        $q_1$        $q_3$        $q_4$

	a	b
$\rightarrow q_0$	$q_1$	$q_2$
$q_1$	$q_1$	$q_3$
$q_2$	$q_1$	$q_2$
$q_3$	$q_1$	$*q_4$
$*q_4$	$q_1$	$q_2$

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➤ Find 3 equivalent states

- 3 equivalent state can be find using 2 equivalent states. ie,

$[q_0, q_2]$   $[q_1]$   $[q_3]$   $[q_4]$

- Check 3 equivalent of  $(q_0, q_2)$

**3 equivalent states**

$[q_0, q_2]$   $[q_1]$   $[q_3]$   $[q_4]$

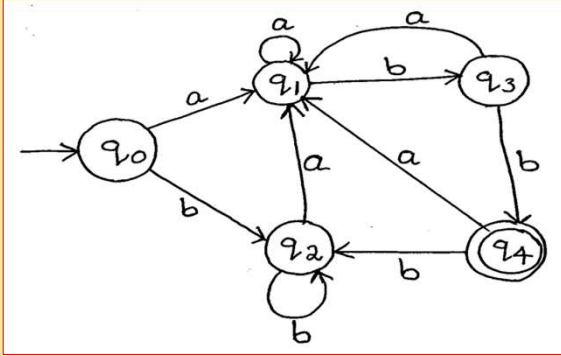
ie, No further division is possible

	a	b
$\rightarrow q_0$	$q_1$	$q_2$
$q_1$	$q_1$	$q_3$
$q_2$	$q_1$	$q_2$
$q_3$	$q_1$	$*q_4$
$*q_4$	$q_1$	$q_2$

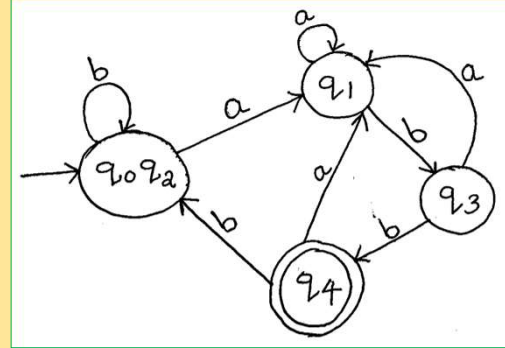
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➤ Step 4- Draw minimal DFA using the states



(This is our question)

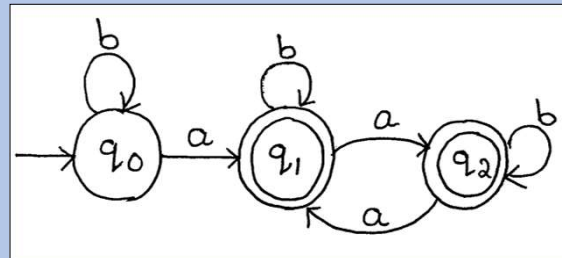
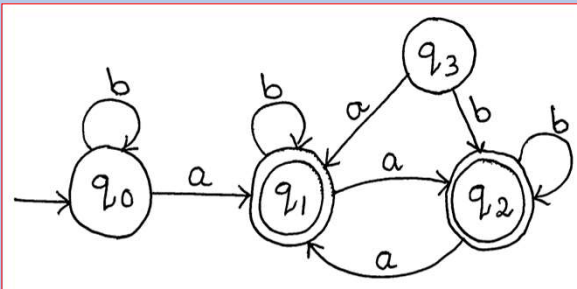


(minimized DFA - Answer)

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**Q:** Minimize the following DFA using equivalence theorem



➤  $q_3$  is not reachable from starting state. Then we should delete  $q_3$

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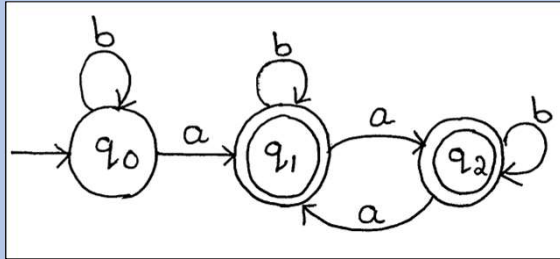
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➤ Find 0 equivalent states

$[q_0]$   $[q_1, q_2]$

➤ Find 1 equivalent states

• Check 1 equivalent of  $(q_1, q_2)$



1 equivalent states

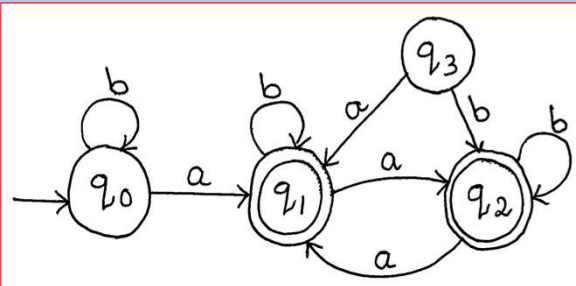
$[q_1, q_2]$   $[q_0]$

No further division is possible

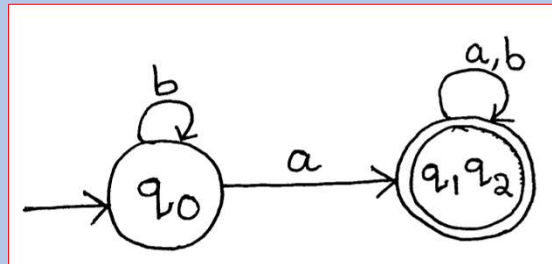
	a	b
→ $q_0$	* $q_1$	$q_0$
* $q_1$	* $q_2$	* $q_1$
* $q_2$	* $q_1$	* $q_2$

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Given DFA – Question

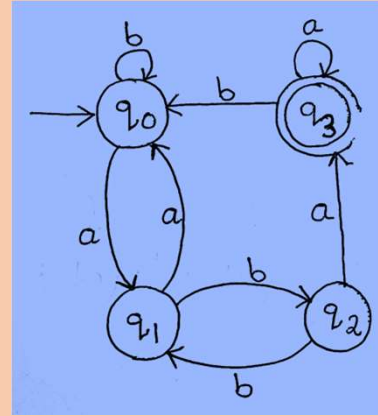
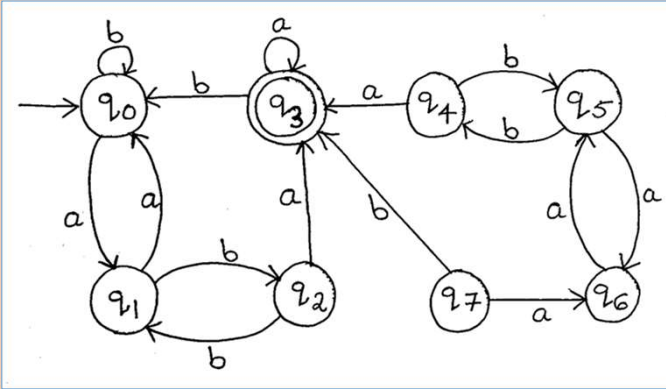


Minimized DFA - Answer

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❖ **Minimization of DFA (Example 3)**



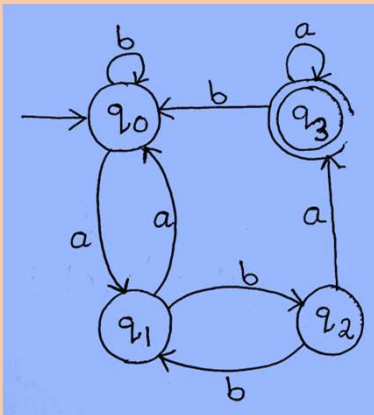
➤ **Step 1** - Identify the unreachable states

➤ **Step 2** - Draw state transition table

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➤ **Step 2** - Draw state transition table



	a	b
→ q <sub>0</sub>	q <sub>1</sub>	q <sub>0</sub>
q <sub>1</sub>	q <sub>0</sub>	q <sub>2</sub>
q <sub>2</sub>	*q <sub>3</sub>	q <sub>1</sub>
*q <sub>3</sub>	*q <sub>3</sub>	q <sub>0</sub>

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### ➤ Step 3 - Find equivalent states

0 equivalent

$[q_0 \ q_1 \ q_2] \ [q_3]$

1 equivalent

- Check 1 equivalent of  $(q_0 \ q_1)$
- Check 1 equivalent of  $(q_0 \ q_2)$

1 equivalent states

$q_0 \ q_1$

$q_2$

$q_3$

	a	b
$\rightarrow q_0$	$q_1$	$q_0$
$q_1$	$q_0$	$q_2$
$q_2$	$*q_3$	$q_1$
$*q_3$	$*q_3$	$q_0$

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2 equivalent

- To find 2 equivalent states, we use 1 equivalent states. i.e.,

$[q_0 \ q_1] \ [q_2] \ [q_3]$

- Check 2 equivalent of  $(q_0 \ q_1)$

2 equivalent states

$q_0$

$q_1$

$q_2$

$q_3$

So, 2 equivalent states are

$[q_0] \ [q_1] \ [q_2] \ [q_3]$

	a	b
$\rightarrow q_0$	$q_1$	$q_0$
$q_1$	$q_0$	$q_2$
$q_2$	$*q_3$	$q_1$
$*q_3$	$*q_3$	$q_0$

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**Given DFA in the question**

**After removing unreachable states**

➤ Minimized DFA, which is also Same as the above →

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## GRAMMAR

- A grammar describes how to form strings from a language's alphabet that are valid according to the language's syntax.
- A grammar is usually thought of as a language generator.

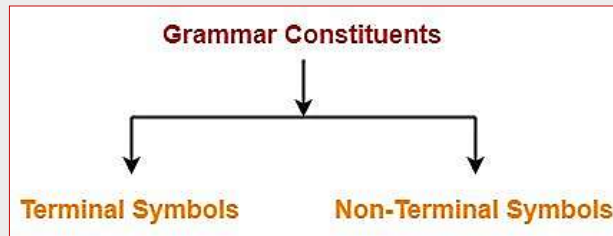
**Formal Definition**

- A Grammar is a 4-tuple such that  $G = (V, T, P, S)$  where
  - $V$  = Finite non-empty set of non-terminal symbols (Variables)
  - $T$  = Finite set of terminal symbols
  - $P$  = Finite non-empty set of production rules
  - $S$  = Start symbol

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➤ A Grammar is mainly composed of two basic elements



- **Terminal Symbols** - are denoted by using **small case letters** such as a, b, c etc.
- **Non-Terminal Symbols** - are denoted by using **capital letters** such as A, B, C etc. It is **also called variables**.

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➤ **Example 1 of Grammar**

- Consider a grammar  $G = (V, T, P, S)$  where-

$V = \{ S \}$  // Set of Non-Terminal symbols

$T = \{ a, b \}$  // Set of Terminal symbols

$P = \{ S \rightarrow aSbS, S \rightarrow bSaS, S \rightarrow \epsilon \}$  // Set of production rules

$S = \{ S \}$  // Start symbol

This grammar generates the strings having **equal number of a's and b's**

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### ➤ Example 2 of Grammar

Consider a grammar  $G = (V, T, P, S)$  where-

$V = \{ S, A, B \}$  // Set of Non-Terminal symbols

$T = \{ a, b \}$  // Set of Terminal symbols

$P = \{ S \rightarrow ABa, A \rightarrow BB, B \rightarrow ab, AA \rightarrow b \}$  // Set of production rules

$S = \{ S \}$  // Start symbol

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### ❖ Chomsky Hierarchy

➤ Noam Chomsky gave a mathematical model of grammar in 1956 which is effective for writing computer languages.

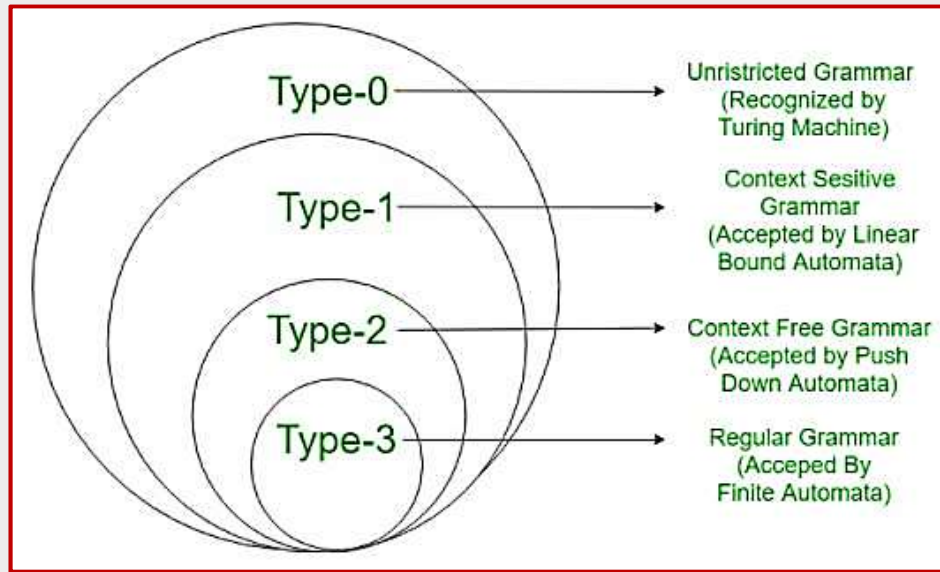
➤ According to Chomsky hierarchy, grammars are divided of 4 types:

- ❖ **Type 0** known as **unrestricted grammar**
- ❖ **Type 1** known as **context sensitive grammar**
- ❖ **Type 2** known as **context free grammar**
- ❖ **Type 3** Known as **Regular Grammar**

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## ❖ Chomsky Hierarchy



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## ❖ Type 3: Regular Grammar

- Regular grammars generate regular languages.
- These languages are exactly all languages that can be accepted by a finite state automaton.
- Type 3 is most restricted form of grammar.
- Regular grammar contains the production of the form  $\alpha \rightarrow \beta$  where  $|\alpha| \leq |\beta|$ ,  $\alpha \in v$  and  $\beta$  has the form  $aB$  or  $a$ .

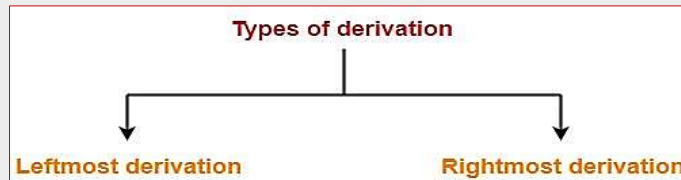
Eg :  $S \rightarrow aS, S \rightarrow b$

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## ❖ Derivations

- The process of deriving a string is called as **derivation**.
- The geometrical representation of a derivation is called as a **parse tree** or **derivation tree**.



- **Leftmost Derivation** – It is the process of deriving a string by **expanding the leftmost non-terminal** at each step
- **Rightmost Derivation** – It is the process of deriving a string by **expanding the rightmost non-terminal** at each step

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## ❖ Leftmost Derivation

- Find Leftmost derivation of the  
Following Grammar ?

$$S \rightarrow AB \mid \epsilon$$

$$A \rightarrow aB$$

$$B \rightarrow Sb$$

Derive the string *abb*

$$S \rightarrow AB$$

$$\rightarrow aBB$$

$$\rightarrow aSbB$$

$$\rightarrow a\epsilon bB$$

$$\rightarrow abB$$

$$\rightarrow abSb$$

$$\rightarrow ab\epsilon b$$

$$\rightarrow abb$$

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### ❖ Rightmost Derivation

➤ Find Rightmost derivation of the  
Following Grammar ?

$$S \rightarrow AB \mid \varepsilon$$

$$A \rightarrow aB$$

$$B \rightarrow Sb$$

Derive the string `abb`

$$S \rightarrow AB$$

$$\rightarrow ASb$$

$$\rightarrow A\varepsilon b$$

$$\rightarrow aBb$$

$$\rightarrow aSbb$$

$$\rightarrow a\varepsilon bb$$

$$\rightarrow abb$$

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## REGULAR EXPRESSIONS

- The language accepted by finite automata can be easily described by simple expressions called Regular Expressions.
- It is the **most effective way to represent any language**.
- The languages accepted by some regular expression are referred to as Regular languages.
- A regular expression can also be described as a **sequence of pattern that defines a string**.
- Regular expressions are used to match character combinations in strings.

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➤ Regular Expressions are used to denote regular languages. An expression is regular if

- $\phi$  is a regular expression for regular language  $\phi$ .
- $\epsilon$  is a regular expression for regular language  $\{\epsilon\}$
- If  $a \in \Sigma$  ( $\Sigma$  represents the input alphabet),  $a$  is regular expression with language  $\{a\}$ .
- If  $a$  and  $b$  are regular expression,  $a + b$  is also a regular expression with language  $\{a,b\}$ .
- If  $a$  and  $b$  are regular expression,  $ab$  (concatenation of  $a$  and  $b$ ) is also regular.
- If  $a$  is regular expression,  $a^*$  (0 or more times  $a$ ) is also regular.

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➤ **Regular Languages** : A language is regular if it can be expressed in terms of regular expression.

- In a regular expression,  $x^*$  means zero or more occurrence of  $x$ . It can generate  $\{\epsilon, x, xx, xxx, xxxx, \dots\}$
- In a regular expression,  $x^+$  means one or more occurrence of  $x$ . It can generate  $\{x, xx, xxx, xxxx, \dots\}$

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**Example 1:**

Write the regular expression for the language accepting all combinations of a's, over the set  $\Sigma = \{a\}$

**Solution:**

- All combinations of a's means a may be zero, single, double and so on.
- If a is appearing zero times, that means a null string. That is we expect the set of  $\{\epsilon, a, aa, aaa, \dots\}$ .
- So we give a regular expression for this as:

$$\text{RE} = \mathbf{a^*}$$

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**Example 2:**

Write the regular expression for the language accepting all combinations of a's except the null string, over the set  $\Sigma = \{a\}$

**Solution:**

- The regular expression has to be built for the language  
 $L = \{a, aa, aaa, \dots\}$
- This set indicates that there is no null string. So we can denote regular expression as:

$$\text{RE} = \mathbf{a^+}$$

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**Example 3:**

Write the regular expression for the language accepting all the string containing any number of a's and b's.

**Solution:**

The regular expression will be:

$$\text{RE} = (\mathbf{a + b})^*$$

- This will give the set as  $L = \{\epsilon, a, aa, b, bb, ab, ba, aba, bab, \dots\}$ , any combination of a and b.
- The  $(a + b)^*$  shows any combination with a and b even a null string.

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**Example 4:**

Write the regular expression for the language accepting all the string which are starting with 1 and ending with 0, over  $\Sigma = \{0, 1\}$

**Solution:**

- In a regular expression, the first symbol should be 1, and the last symbol should be 0.

$$\text{RE} = \mathbf{1 (0+1)^* 0}$$

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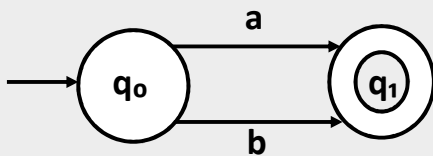
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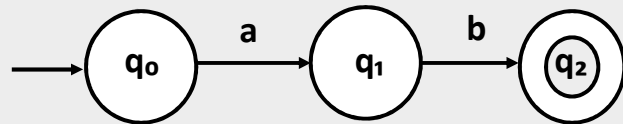
## Conversion of RE to FA

### Rules:

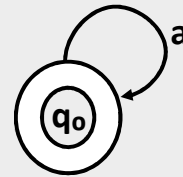
1.  $a+b$  (a or b,  $a|b$ )



2.  $(ab)$



3.  $a^*$  (Repetition / a closure)



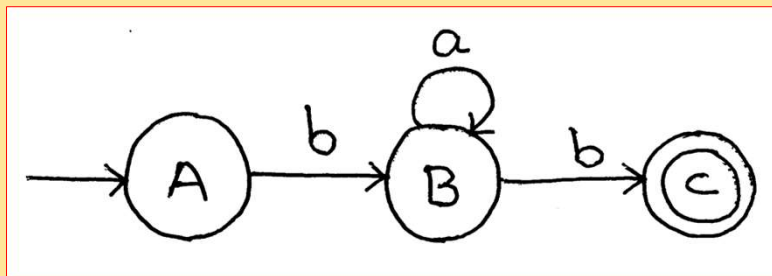
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### Example: 1

Convert the regular expression  $ba^*b$  to Finite Automata

$L = \{bb, bab, baab, \dots\}$



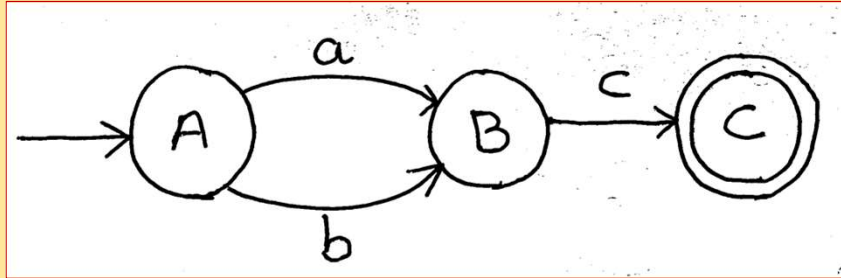
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**Example: 2**

Convert the regular expression  $(a+b)c$  to Finite Automata

$L = \{ac, bc\}$



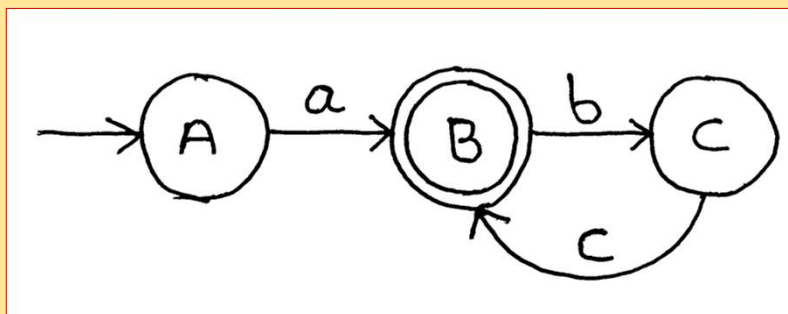
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**Example: 3**

Convert the regular expression  $a(bc)^*$  to Finite Automata

$L = \{a, abc, abcabc, abcabcabc, \dots\}$

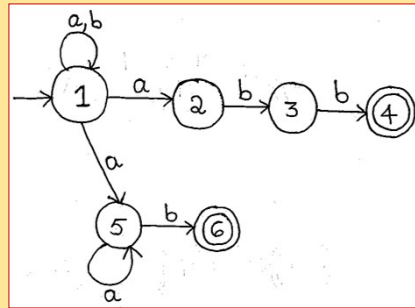
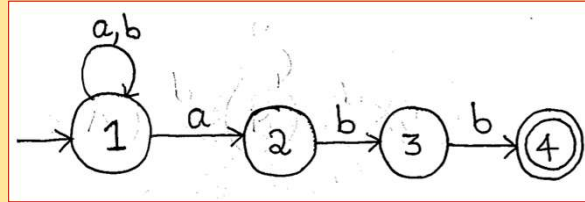
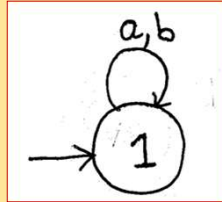


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**Example: 3**

Convert the regular expression  $(a|b)^* (abb|a^+b)$  to Finite Automata



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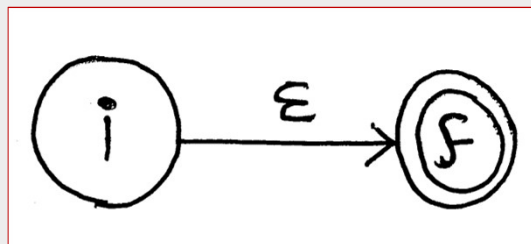
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**REGULAR EXPRESSION TO NFA**

➤ The following are the rules to convert a RE to NFA. They are called **Thompson's Rule**

**Rule: 1**

- Let  $i$  be the initial state and  $f$  be the final state. The NFA for the regular expression (RE) that accept null string ( $\epsilon$ ) is given by

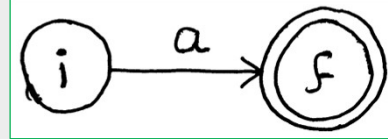


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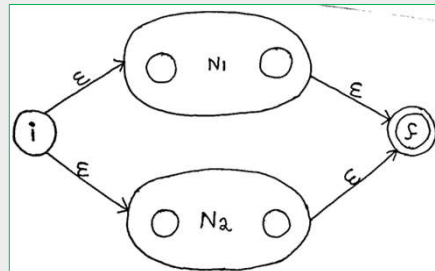
**Rule: 2**

- The NFA for the regular expression (RE) that accept an input symbol **a** is given by

**Rule : 3**

- If  $N_1$  and  $N_2$  are NFA for the regular Expression  $R_1$  and  $R_2$ , then

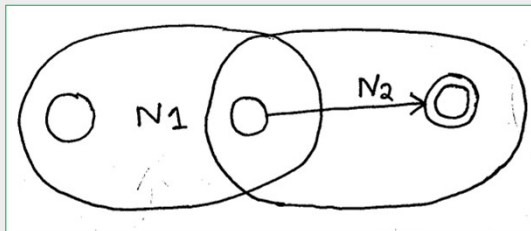
(i) NFA for  $R_1+R_2$  ( $R_1|R_2$ )



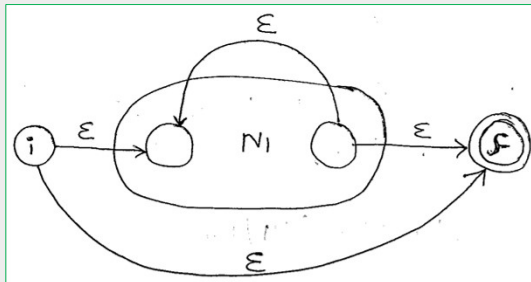
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(i) NFA for  $R_1R_2$



(ii) NFA for  $R^*$



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**Example : 1**

Construct NFA for the RE  $(a|b)^*a$

We can split the RE like

$R1 = a$

$R2 = b$

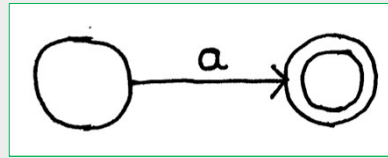
$R3 = R1 | R2$

$R4 = R3^*$

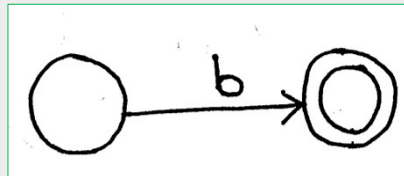
$R5 = R4.R1$

We can construct NFA for each one

for **a**



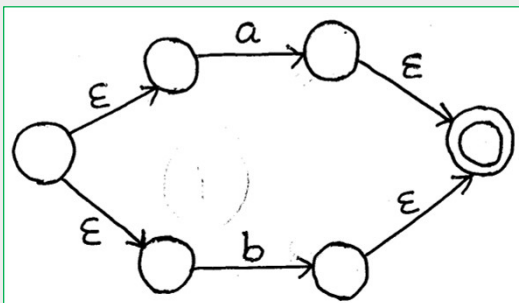
for **b**



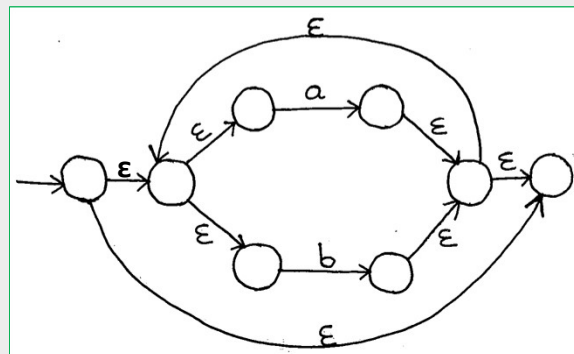
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for **a|b**



for  **$(a|b)^*$**



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for  $(a|b)^*a$

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**Example 2**

- Draw NFA to represent the regular expression  $ab|(a|b)a$

for **a**

for **ab**

for **b**

for **a|b**

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**for  $ab|(a|b)$**

**for  $ab|(a|b)a$**

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## Conversion of FA to RE

➤ Here we are using state elimination method

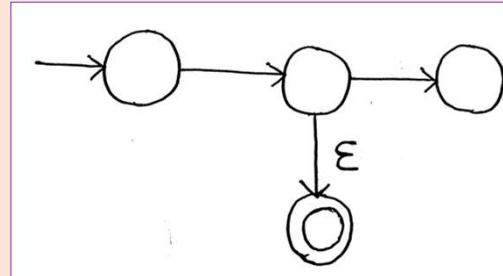
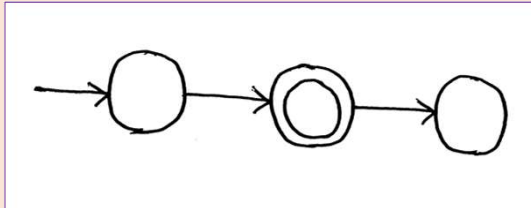
**Rule 1**

➤ Initial state should not have any incoming edge from other state. If incoming edge is present, then create new initial state

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### Rule 2a

- Final state should not have any outgoing edge. If outgoing edge is present, then create new final state

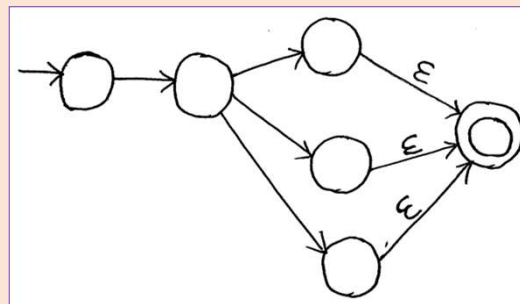
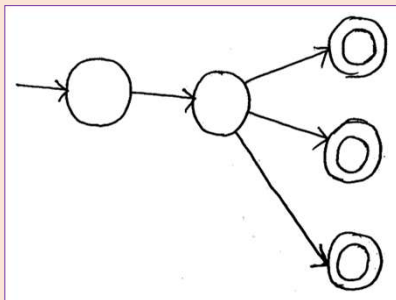


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### Rule 2b

- If more than one Final state is present, then convert it into one state



### Rule 3

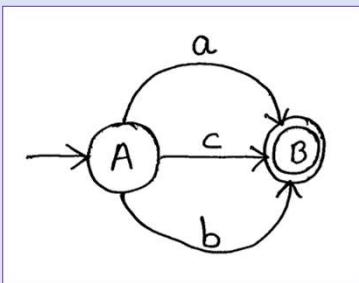
- Eliminate the state one by one other than the initial & final state

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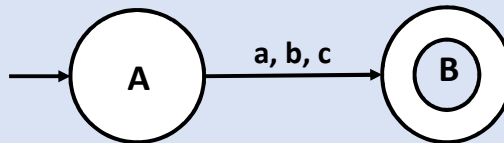


### Example 1



No incoming edge from other state to initial state

No outgoing edges from final state.

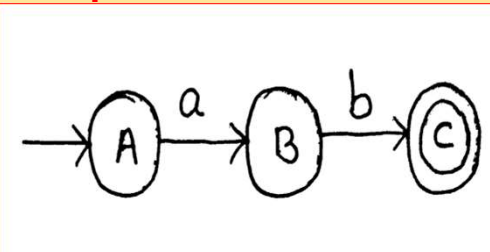


$$RE = (a+b+c)$$

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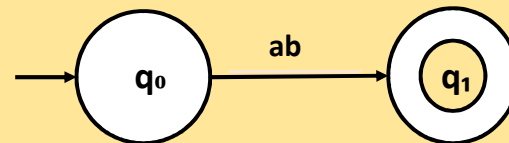
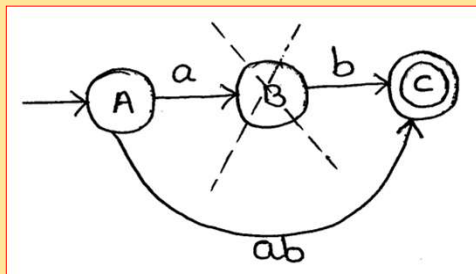
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### Example 2



No incoming edge from other state to initial state

No outgoing edges from final state.

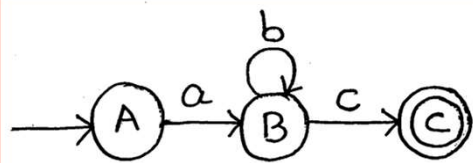


$$RE = ab$$

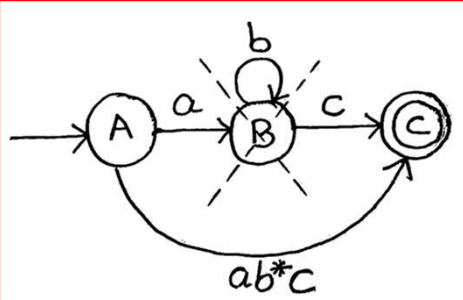
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**Example 3**



No incoming edge from other state to initial state  
No outgoing edges from final state.



RE =  $ab^*c$

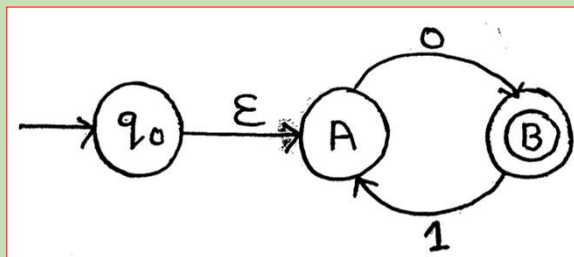
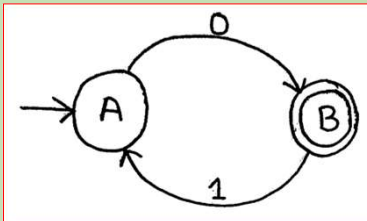
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**Example 4**

**Step - 1**

Incoming edge is present from other state to initial state . So , create new initial state

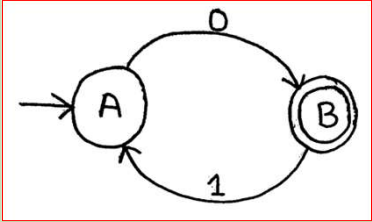
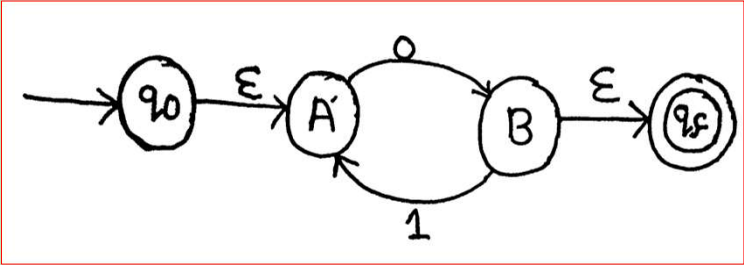


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**Step - 2**

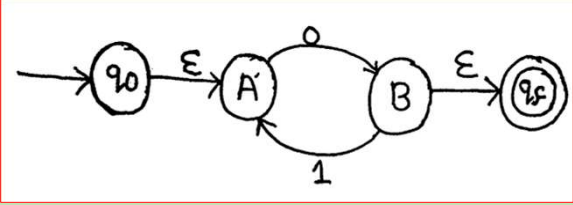
Outgoing edge is present from final state  
So , create new final state

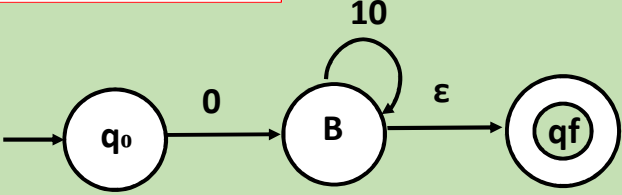
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**Step - 3**

Delete all intermediate states one by one



Eliminate the state A



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